



# SP4 Advanced Monitoring

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Edd Stewart, The University of Birmingham  
WP4.2 Leader

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- Introduction to SP4
- Technology evaluation frameworks
- Selected technologies for field testing
- Field testing/ demonstration activities



Capacity for Rail

## Introduction to SP4

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## The development of innovative monitoring systems for the rail industry

### WP4.1 - Monitoring Strategies

- Identify key components / systems
- Identify monitoring possibilities
- Identify deterioration parameters and methods for prediction
- Identify data collection strategies

### WP4.2 - Monitoring Technologies

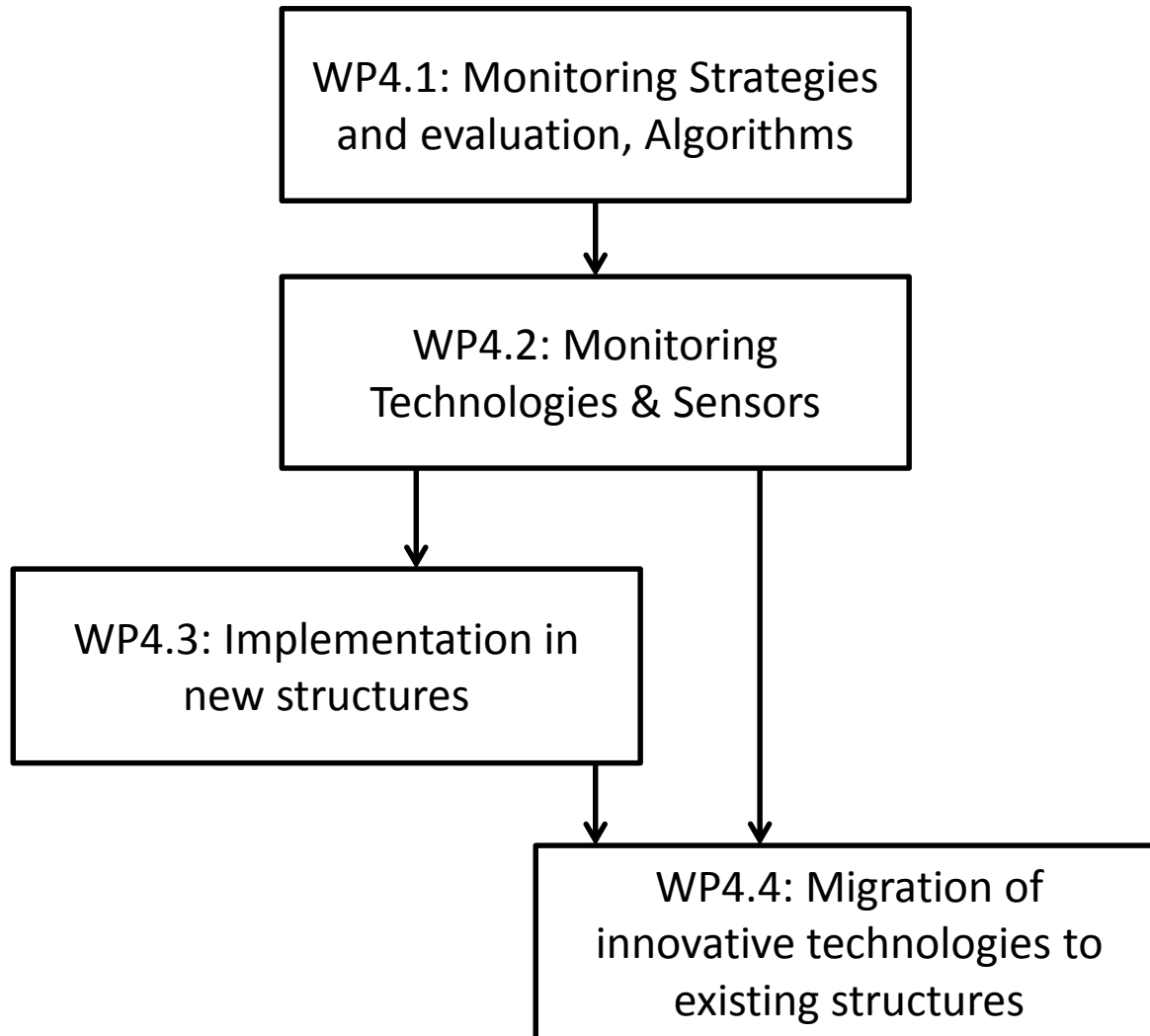
- Identification and evaluation of key technologies:
  - Sensing
  - Energy harvesting
  - Communications
  - Data / processing

### WP4.3 - Implementation in new structures

- Review of new track structures for weak points and risk levels
- Develop built-in monitoring systems
- Processes for operation and maintenance

### WP4.4 - Migration of innovative technologies to existing structures

- Development of retro-fit monitoring systems
- Integration with existing maintenance processes





# Technology Evaluation Frameworks

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Technologies to be used to develop integrated solutions for next generation railway monitoring and inspection

- Specification, identification and evaluation

Scope

- Sensing, energy harvesting, communications, processing and data integration

Expectations

- Low cost, robust, intelligent, and low power

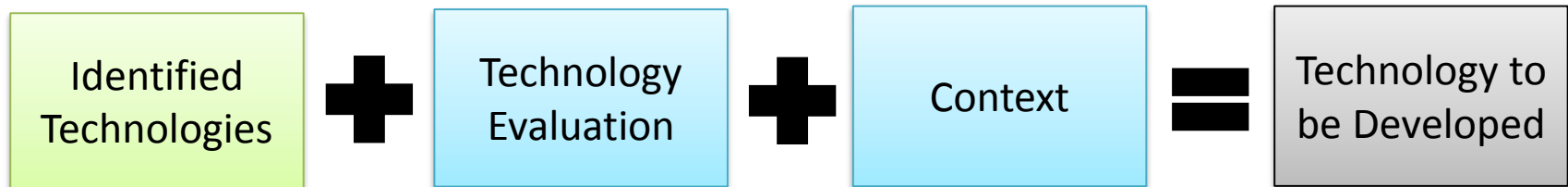
Near-horizon technologies or technology transfer from other domains

- Not the development of entirely new approaches

- Identify key requirements for inspection and monitoring systems
  - Measure what you need, not what you can...
- Review technology use in rail and other industries
- Select appropriate sensing technologies and processing for low energy systems
- Select appropriate communications technologies
- Identify appropriate data formats and communications strategies
- Development of demonstration case studies



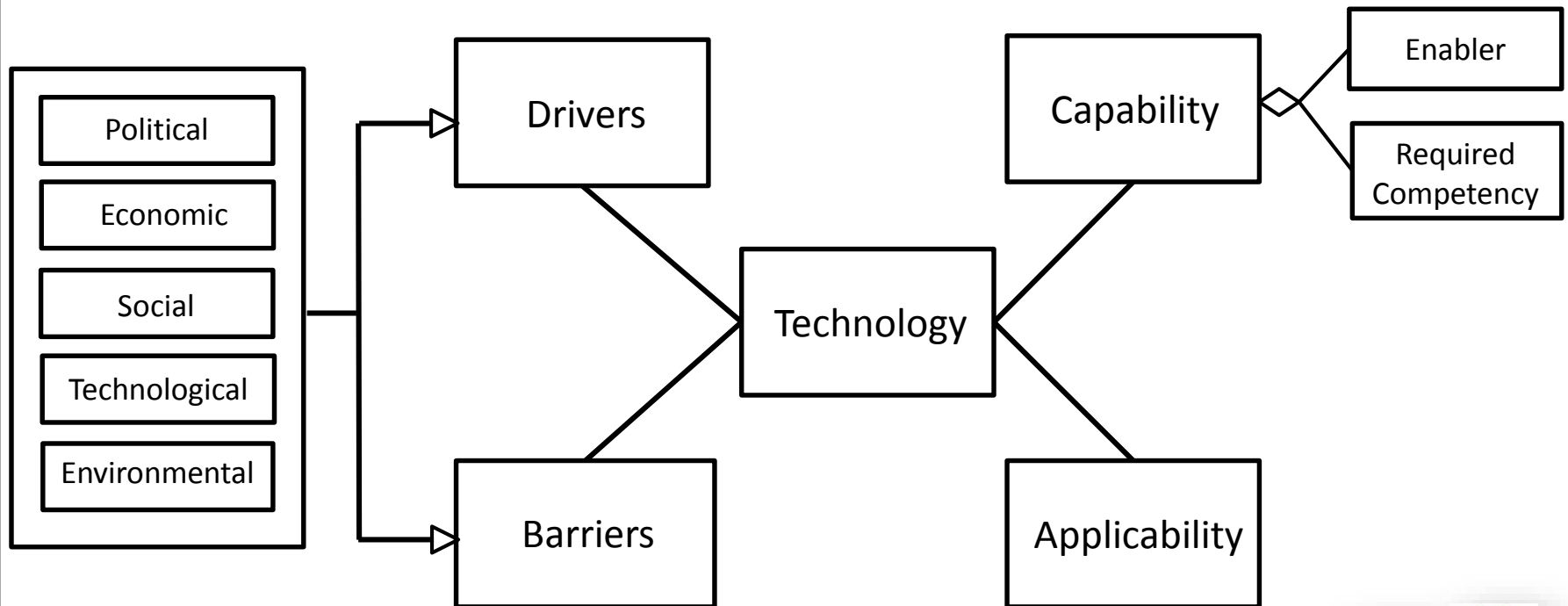
# Technology Evaluation



# Technology Identification Framework

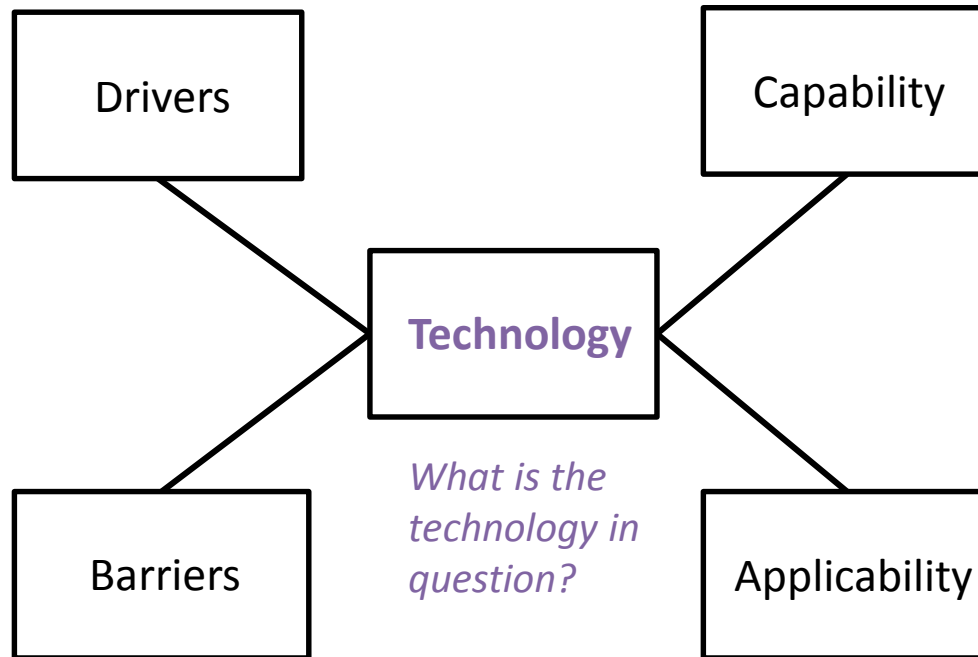
## Technology Market Place

Which technologies could be developed given the Market Place?  
What is missing in the Market Place to progress the technologies?



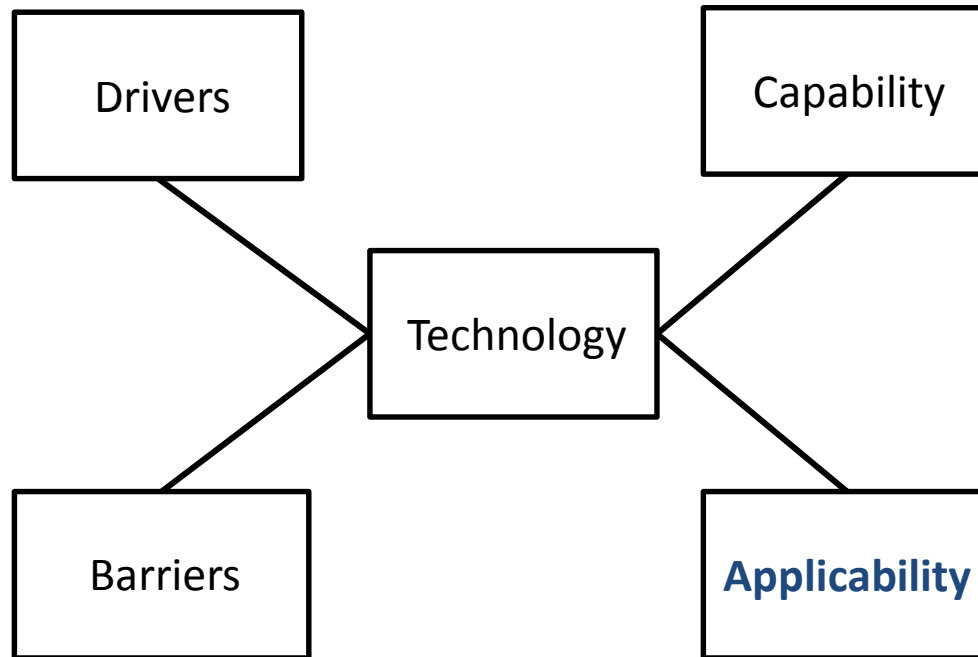
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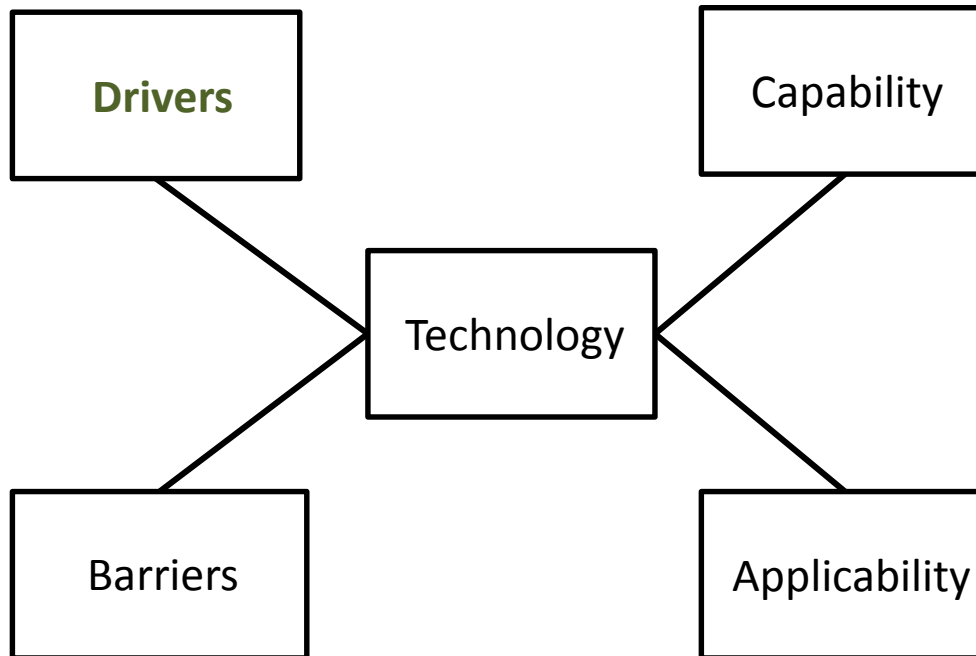


*Where could  
the Technology  
be applied?*

## Technology Market Place

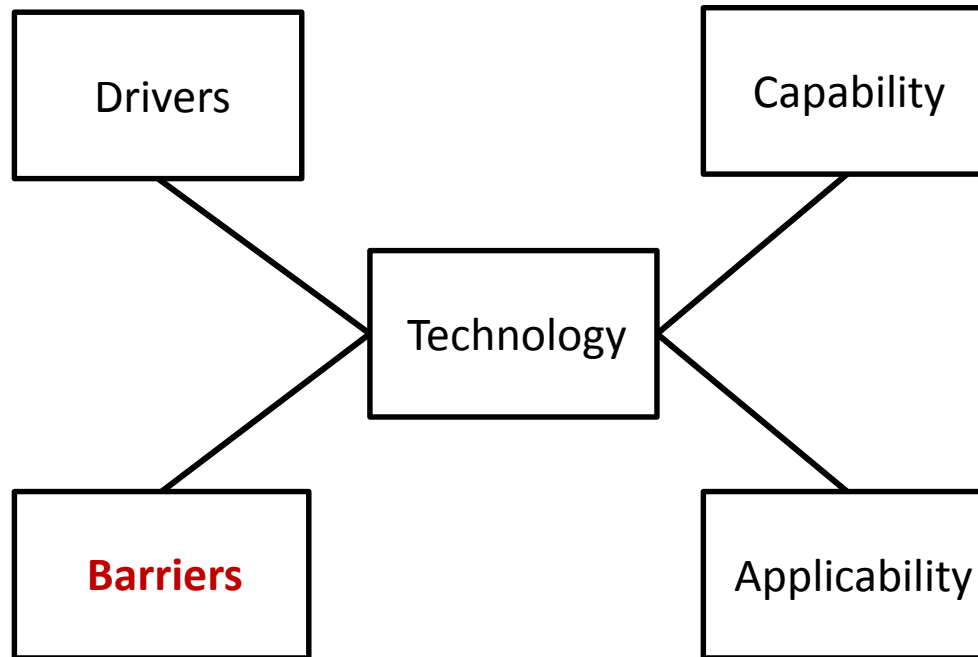
Which technologies could be developed given the Market Place?  
What is missing in the Market Place to progress the technologies?

*Where are the drivers for introducing the Technology?*



Technology Market Place

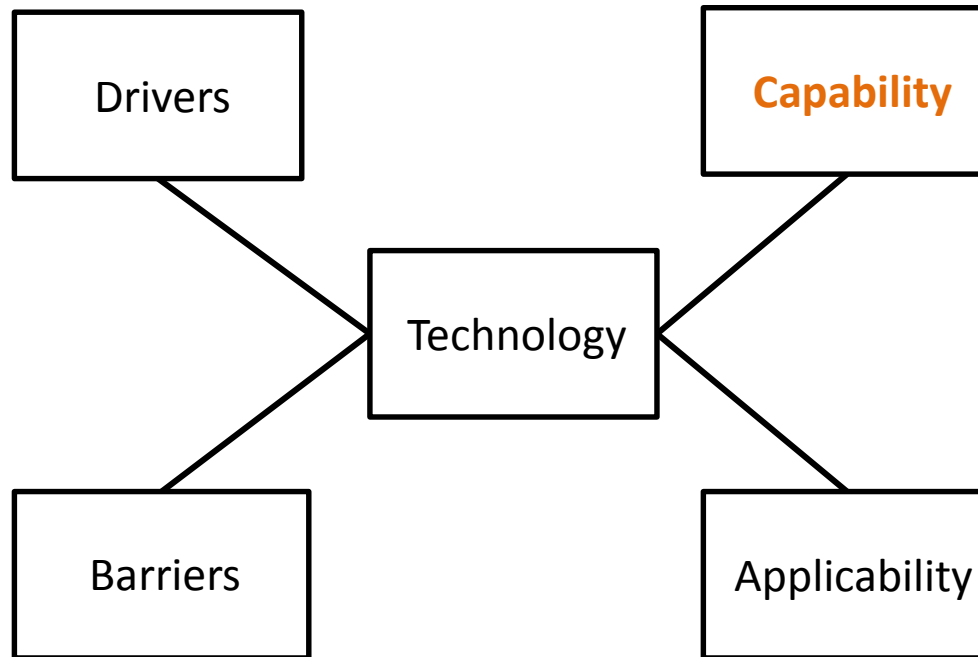
Which technologies could be developed given the Market Place?  
What is missing in the Market Place to progress the technologies?



*Where are the barriers to introducing the Technology?*

## Technology Market Place

Which technologies could be developed given the Market Place?  
What is missing in the Market Place to progress the technologies?



*What capability  
is required to  
realise the  
Technology?*

# Technology Identification Framework

## Drivers

political, economic, social, technological, environmental

## Capability

enablers, competencies and relevant stakeholders

## Barriers

political, economic, social, technological, environmental

## Applicability

application, stakeholders, business case and business model





# Technology Identification Framework

## Drivers

political, economic, social, technological, environmental

Effective proven technology  
Non-invasive  
Existing safety cases

## Capability

enablers, competencies and relevant stakeholders

IR cameras  
IR specialist  
Threshold based processing  
Graphics co-processing  
Calibration

## Infrared Imaging

## Barriers

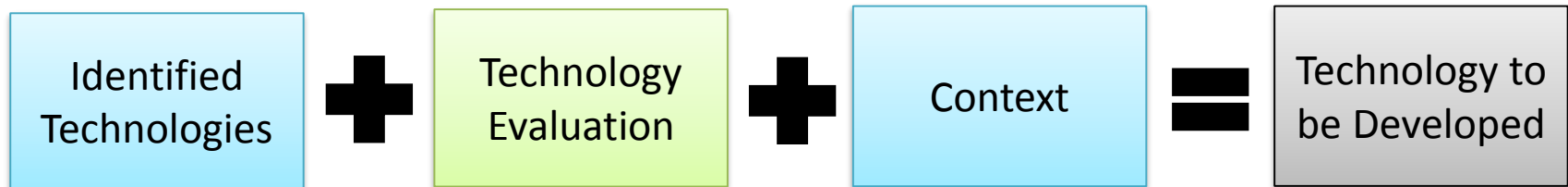
political, economic, social, technological, environmental

Technically limited (resolution + speed)  
Last-minute detection (mechanical)  
Weather sensitive  
Sensitive to emissivity  
Potential damage to lenses from dirt

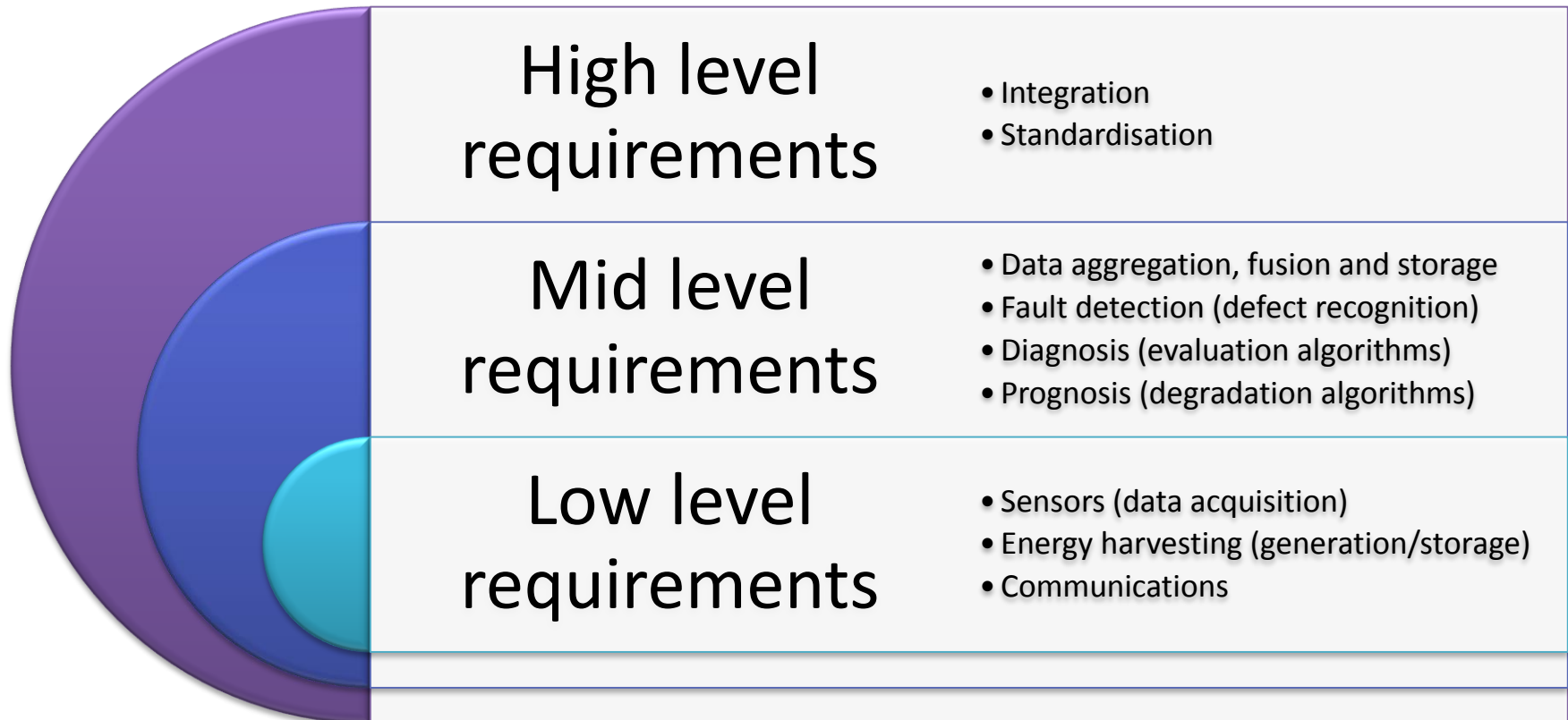
## Applicability

application, stakeholders, business case and business model

Hot spots  
Electrical  
Mechanical  
Vegetation



## Evaluation at multiple levels



# Technology Evaluation Framework

Evaluation against: sensing, energy harvesting, communications, and cost

## Technical Evaluation

## Cost Evaluation

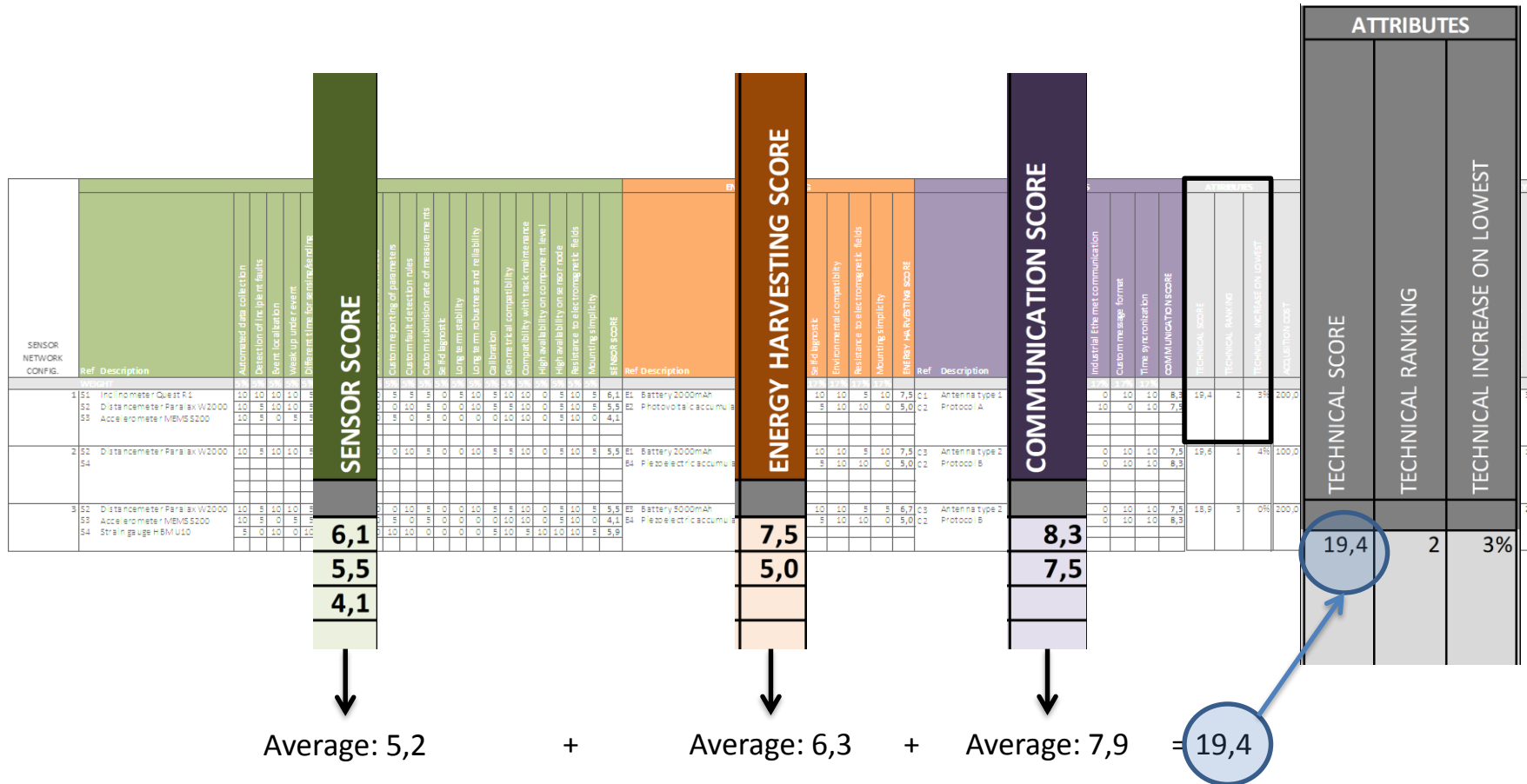
SENSOR NETWORK CONFIG.	TECHNICAL EVALUATION																		COST EVALUATION							VALUE ANALYSIS																				
	Ref	Description	Automated data collection	Detection of triple faults	Event localization	Weakup under event	Different time for alarm/reading	Scalability	Environmental compatibility	Data collection at low speed	Different measurement modes	Custom reporting of parameters	Custom fault detection rules	Custom submission rate of measurements	Self diagnosis	Long term robustness and reliability	Calibration	Geometric compatibility	Compatibility with track maintenance	High availability on component level	High availability on network level	Resistance to electromagnetic fields	Mounting simplicity	SENSOR SCORE	Ref	Description	Real data transmission	Wireless communication	Standard interface for wireless	Industrial Ethernet communication	Custom message format	Time synchronization	COMMUNICATIONS SCORE	Technical score	Technical Ranking	Technical Increase on Lowest	Acquisition Cost	Installation Cost	Maintenance Cost (per year)	Communication Cost	Life Cycle Cost (per year)	Cost Ranking	Cost Increase on Lowest	Value for the Technical score / cost	Value Ranking	
1	52	Inclinometer Quest R1	10	10	10	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	6,1	24	Battery 2000mAh	5	5	5	5	5	5	5	7,5	19,4	2	3%	200,0	50,0	20,0	10,0	480,0	3	67%	4,2	3	
53	53	Accelerometer MEMS S200	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	4,1	25	Photovoltaic accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
54	54	Accelerometer MEMS S200	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	4,1	25	Piezoelectric accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
2	52	Inclinometer Parallax W2000	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5,5	23	Battery 2000mAh	5	5	5	5	5	5	5	7,5	19,6	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
3	52	Inclinometer Parallax W2000	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5,5	23	Battery 2000mAh	5	5	5	5	5	5	5	7,5	19,6	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
53	53	Accelerometer MEMS S200	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	4,1	25	Piezoelectric accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
54	54	Strain gauge HBM U10	5	0	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	5,9	22	Piezoelectric accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1
3	52	Inclinometer Parallax W2000	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5,5	23	Battery 5000mAh	5	5	5	5	5	5	5	6,7	18,9	3	0%	200,0	60,0	10,0	10,0	370,0	2	35%	5,1	2	
53	53	Accelerometer MEMS S200	10	5	5	5	5	10	5	5	5	5	5	5	5	5	5	5	5	5	5	5	4,1	25	Piezoelectric accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1	
54	54	Strain gauge HBM U10	5	0	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	5,9	22	Piezoelectric accumulating	5	5	5	5	5	5	5	5,0	19,5	1	4%	100,0	20,0	15,0	5,0	275,0	1	0%	7,1	1

Comparison of different configurations

Value Analysis  
(Technical vs Cost)

# Technology Evaluation Framework

Scores in different requirement categories are collated





## Selected Technologies for Field Testing

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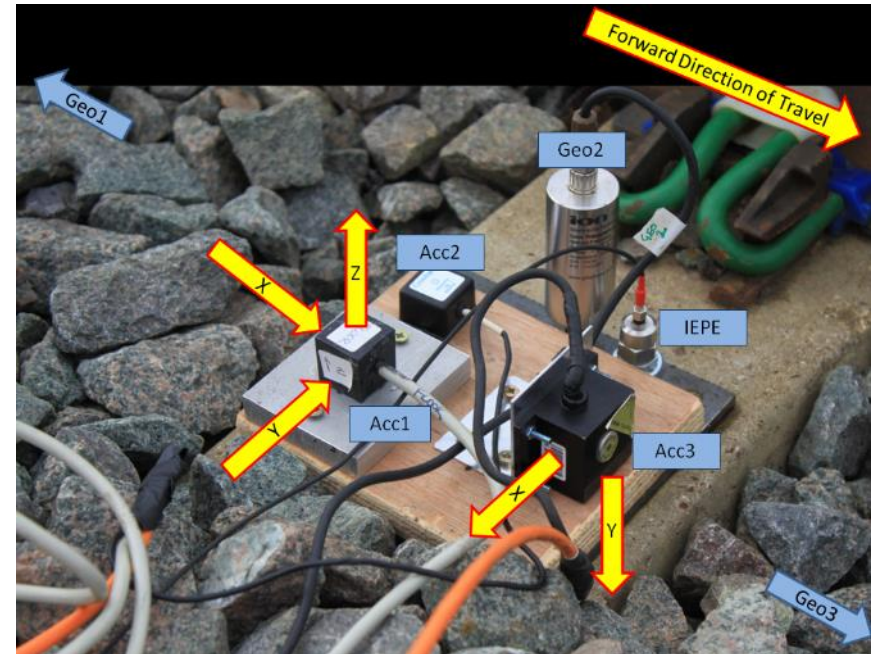
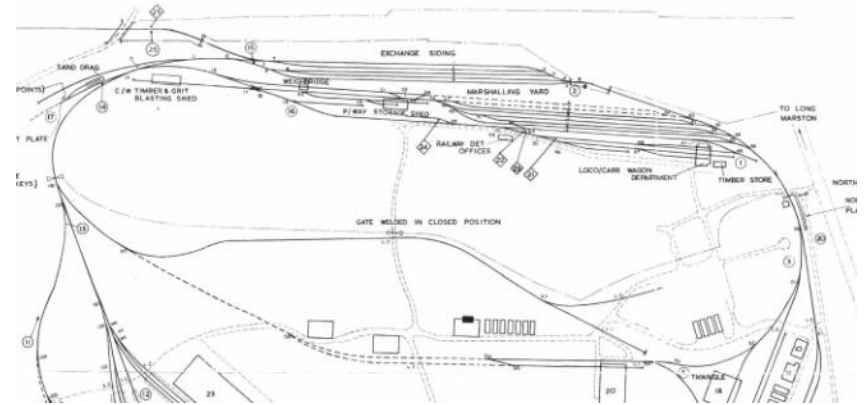
# Sensor Evaluation / Comparison

Testing has been undertaken at the Long Marston facility

A variety of different grade (cost) accelerometers have been evaluated

Testing for both direct vibration, but also suitability for displacement sensing

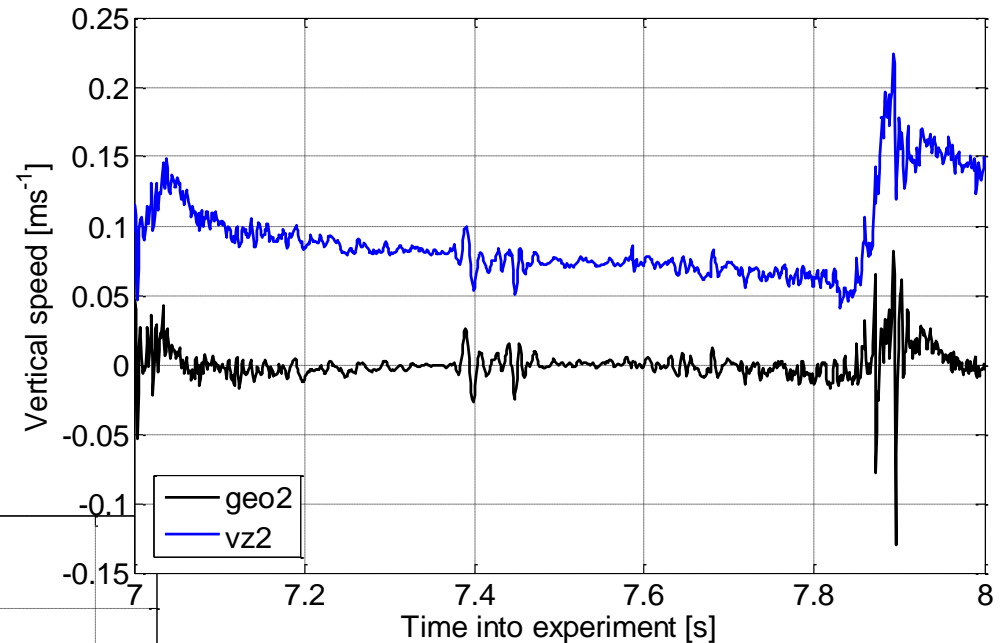
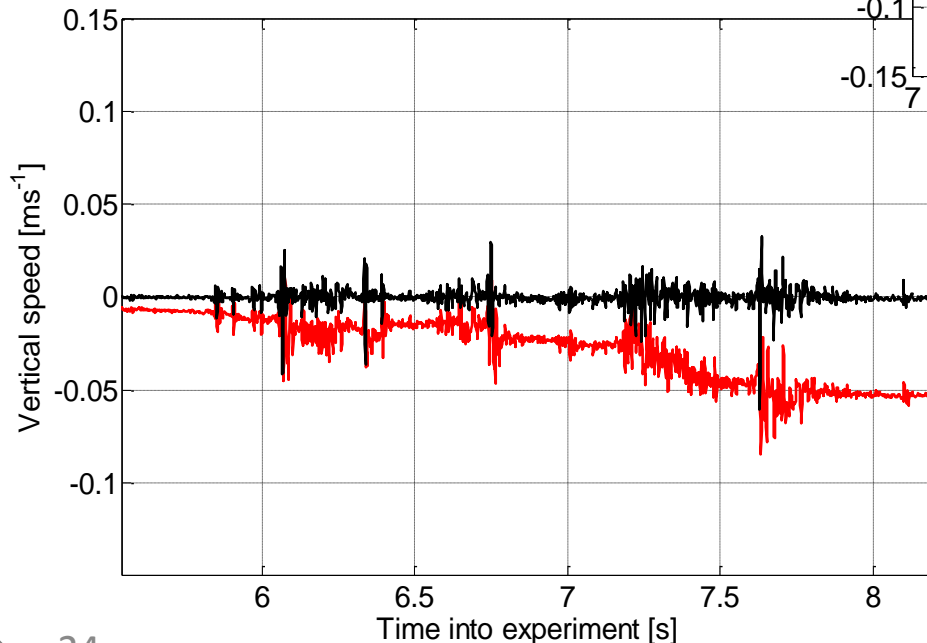
Cross-comparison of sensors and evaluation against geophones



# Sensor Evaluation / Comparison

High quality (cost) sensor displays reasonable correlation

Key sensor attribute is stability for this application

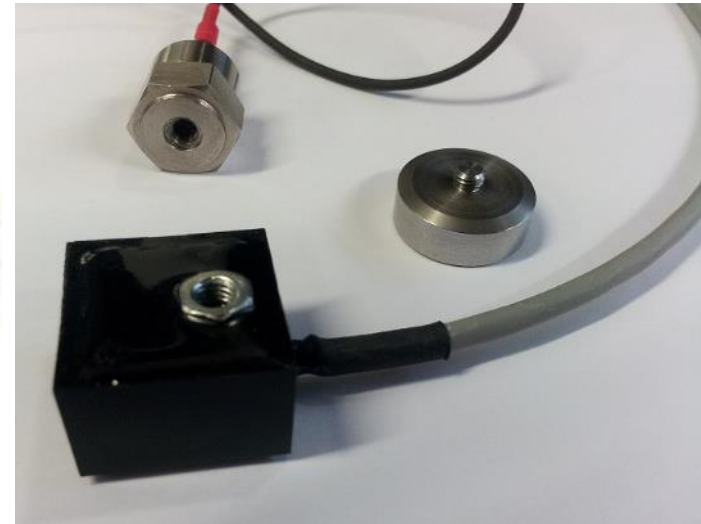


Lower quality (cost) sensor displays significant drift

Also may be affected by significant events in the signal



- MEMS vs Piezo
  - MEMS average draw of 0.75 mW compared to Piezo of 132 mW
  - MEMS Peak draw of 5 mA (1.5 mW)

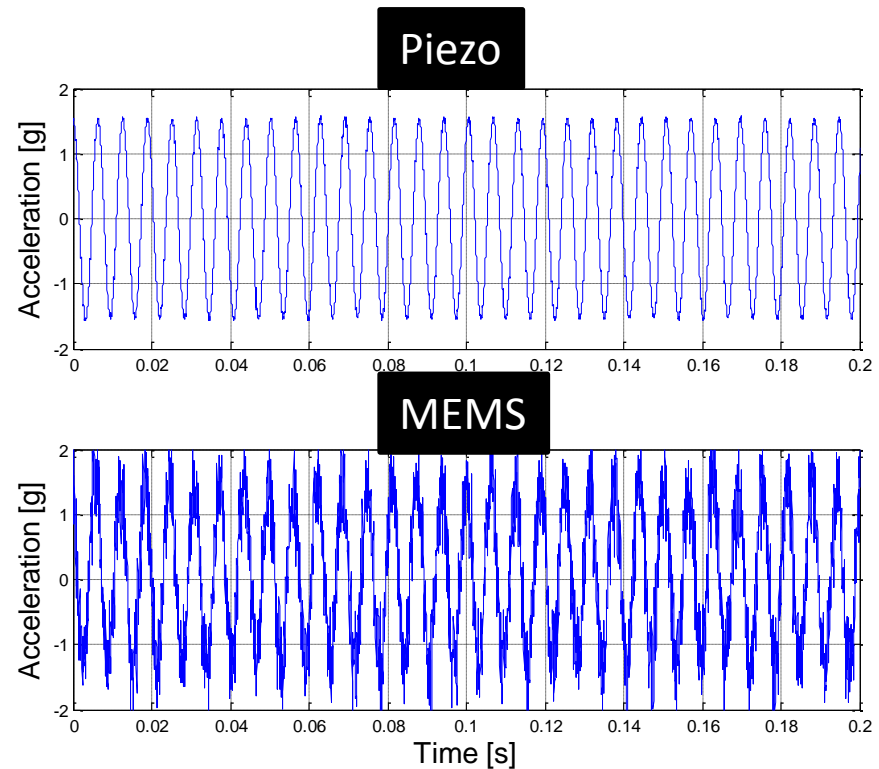


	KS76a (Piezo)	ADXL001 (MEMS)
Interface	IEPE	Voltage
Power	~ 132 mW	< 1 mW
Range	±120 g	± 250 g
Resonant frequency	> 34 kHz	22 kHz
Sensitivity	50 mV/g	4.4 mV/g
Noise	80 µg (20 – 50000 Hz)	95 mg (100 – 400 Hz)

# Sensor Evaluation / Comparison

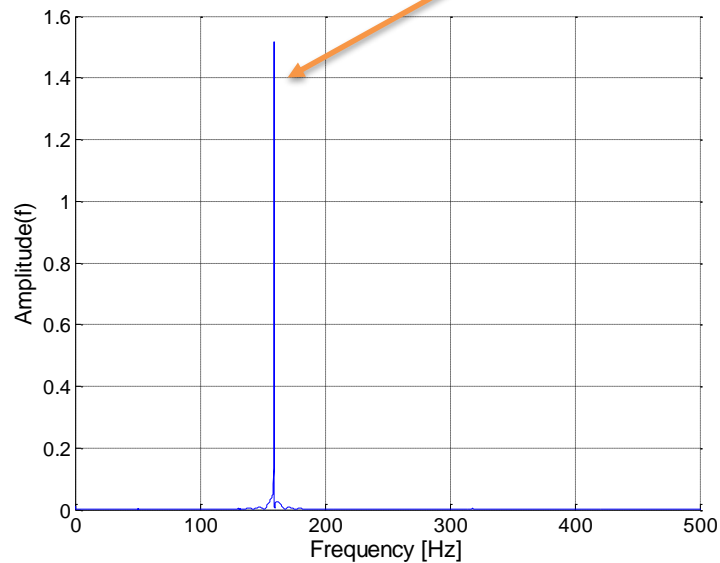


Vibration calibrator

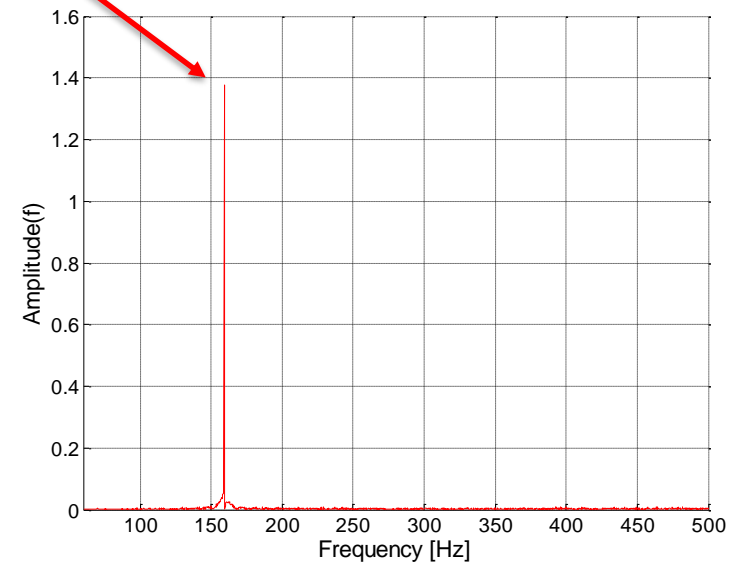


- Good match
- Lower SNR but negligible

159.2 Hz calibration signal



Piezo



MEMS

- Using the SP4 – WP4.2 proposed evaluation framework

SENSORS																								
Ref	Description	Automated data collection	Detection of incipient faults	Event localization	Wake up under event	Different time for sensing/sending	Scalability	Environmental compatibility	Data collection at line speed	Different measurement modes	Custom reporting of parameters	Custom fault detection rules	Custom submission rate of measurements	Self-diagnostic	Long term stability	Long term robustness and reliability	Calibration	Geometrical compatibility	Compatibility with track maintenance	High availability on component level	High availability on sensor node	Resistance to electromagnetic fields	Mounting simplicity	SENSOR SCORE
WEIGHT		5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%	
S1	MEMS Accelerometer ADXL345	10	10	10	10	10	5	10	10	0	0	10	10	0	10	10	5	10	10	10	10	10	5	<b>8.0</b>

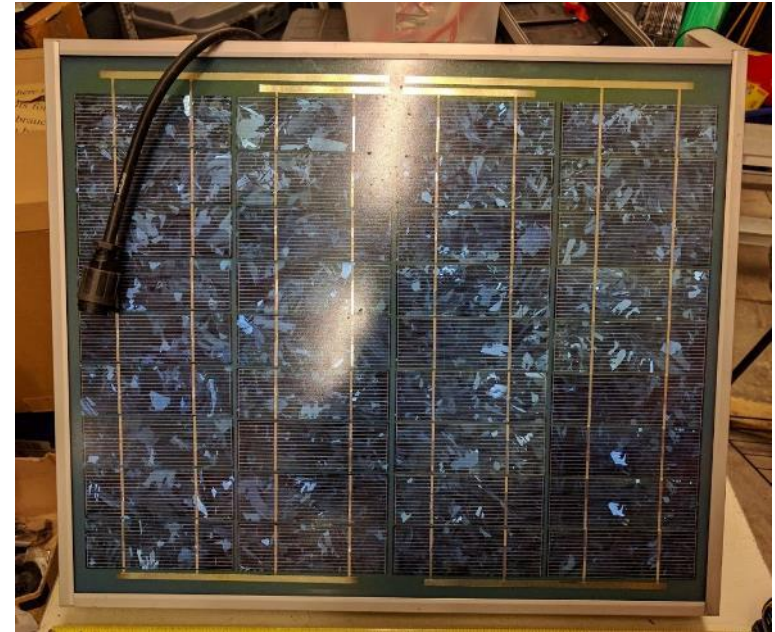
- Rugged solutions for different weather conditions
- Up to 40W power



ENERGY HARVESTING								
Ref	Description	Suitability for installation at different sites	Monitoring and reporting of battery status	Self-diagnostic	Environmental compatibility	Resistance to electromagnetic fields	Mounting simplicity	ENERGY HARVESTING SCORE
	Weight	17%	17%	17%	17%	17%	17%	
E1	LE-v50 wind turbine	5	5	5	5	10	10	6.7

- Rugged wind turbine
- Storm-proof
- Dust and debris resilient
- Wide temperature range

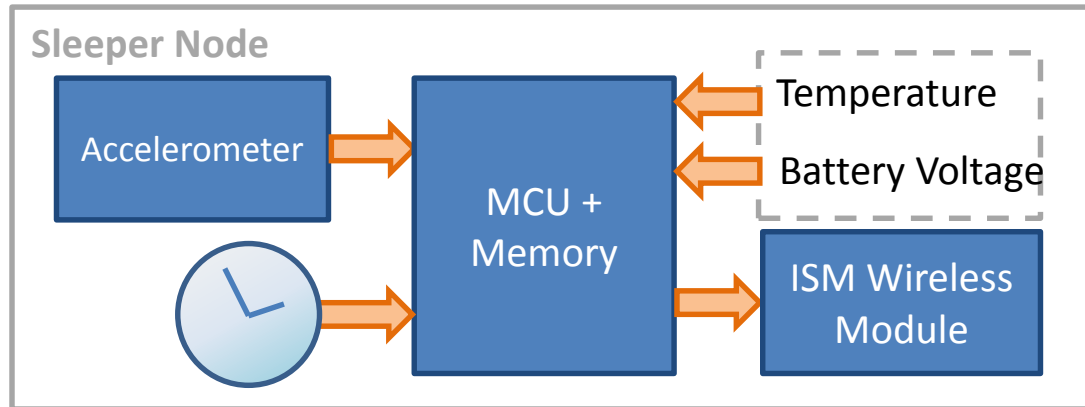
- 50 cm automotive solar panel (traffic lights)
- Up to 20W power



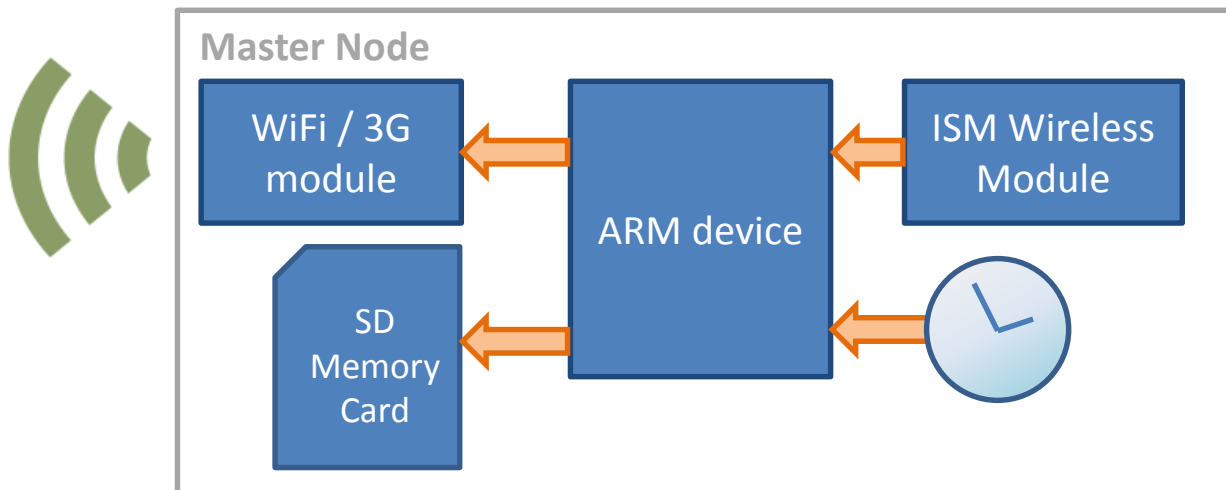
ENERGY HARVESTING								
Ref	Description	Suitability for installation at different sites	Monitoring and reporting of battery status	Self-diagnostic	Environmental compatibility	Resistance to electromagnetic fields	Mounting simplicity	ENERGY HARVESTING SCORE
	Weight	17%	17%	17%	17%	17%	17%	
E1	Solare panel BP SX20U	5	5	0	5	10	10	5.8

- Wide operating temperature range
- Resilient unit, does not require further housing / protection

# UoB Wireless Node System Overview



 Low-power  
Low-frequency  
ISM band



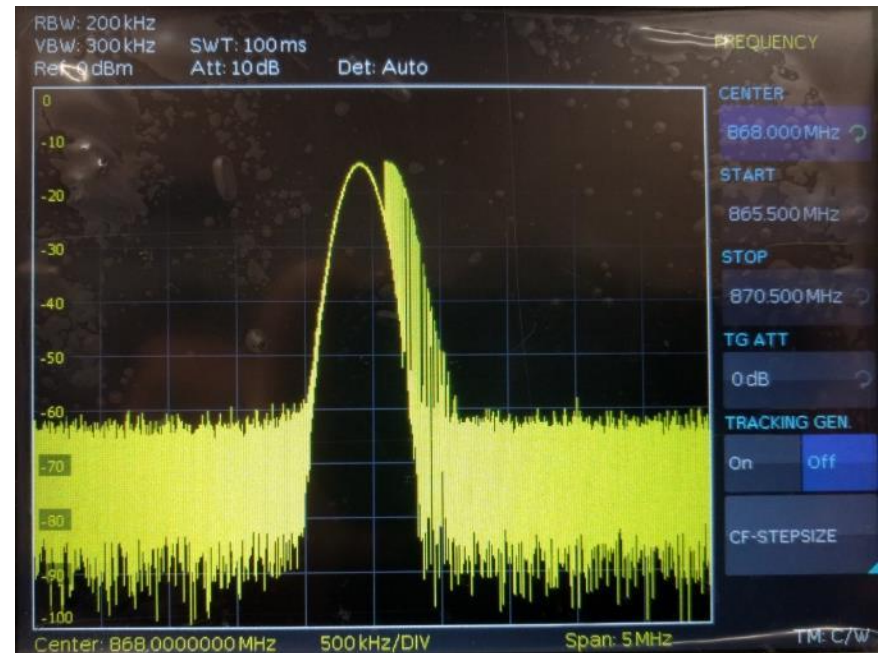
# UoB Sleeper node

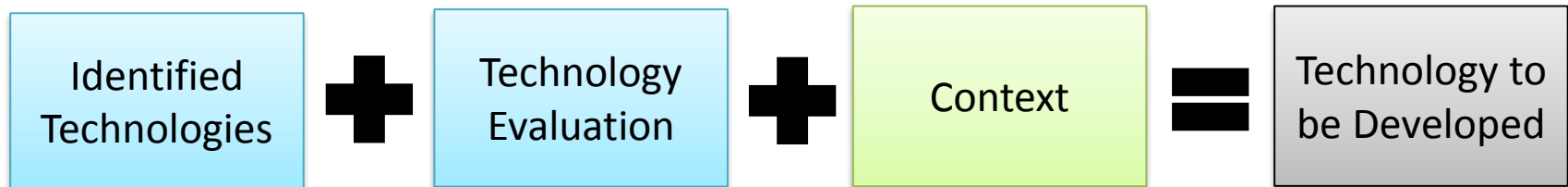
- Easily deployable networks of sensors
- Internal accelerometer
- 'Sleeps' until a train is detected
- Samples at  $1600 \text{ Ss}^{-1}$
- Downsamples to  $800 \text{ Ss}^{-1}$
- Stored in local memory
- Transmitted to master node after train has passed
- Battery powered
  - ~5 years
  - EH for local master node





- Low frequency ISM band
- 868 MHz FSK
- Very low power
- Each node transmits at specified time slot – time division multiplexing
- Real-time clocks are periodically synchronised by the master







## Field Testing / Demonstration Activities

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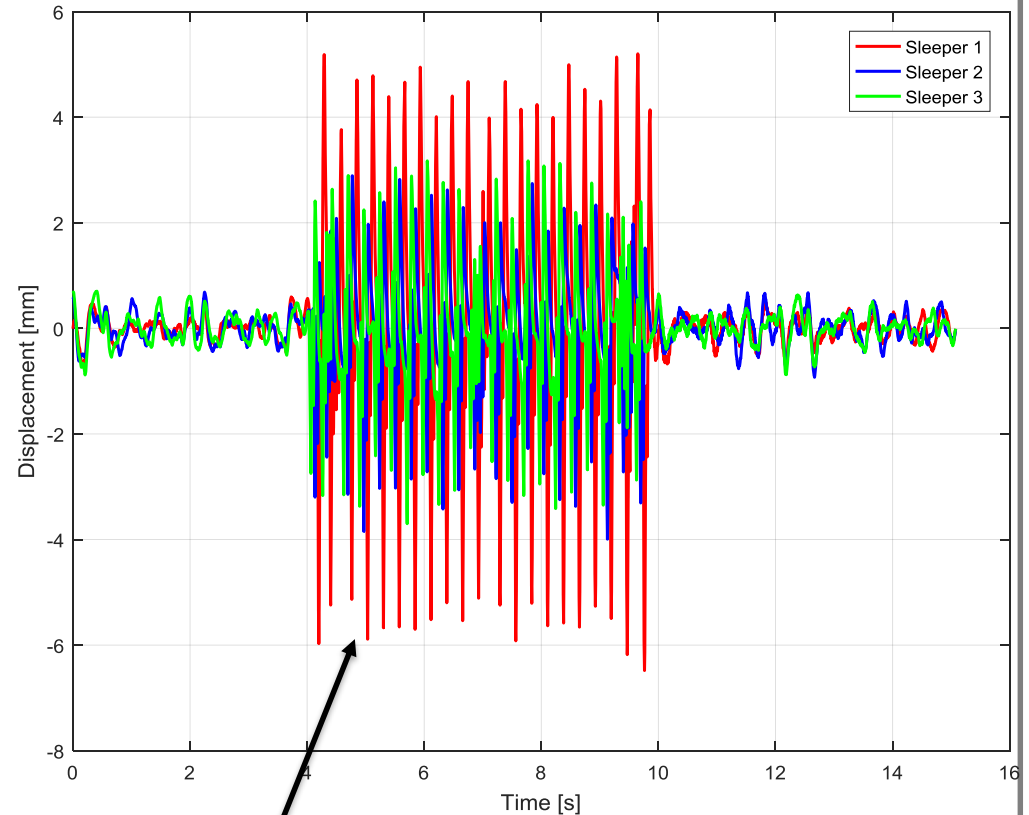
- Monitoring sleepers on the UK HighSpeed 1 line using low power accelerometers and embedded microcontrollers
  - Eurostars
  - Javelins
  - Freight trains
- Monitoring the noise signature pre/post grinding
  - Use of lower power microphones and embedded system

# UoB - Live Trial Initial Testing

- 3 accelerometers installed on the UK HighSpeed 1 line
  - Line speed  
220 kph to 300 kph
- Around 1400 train passages were recorded over a 2 week period

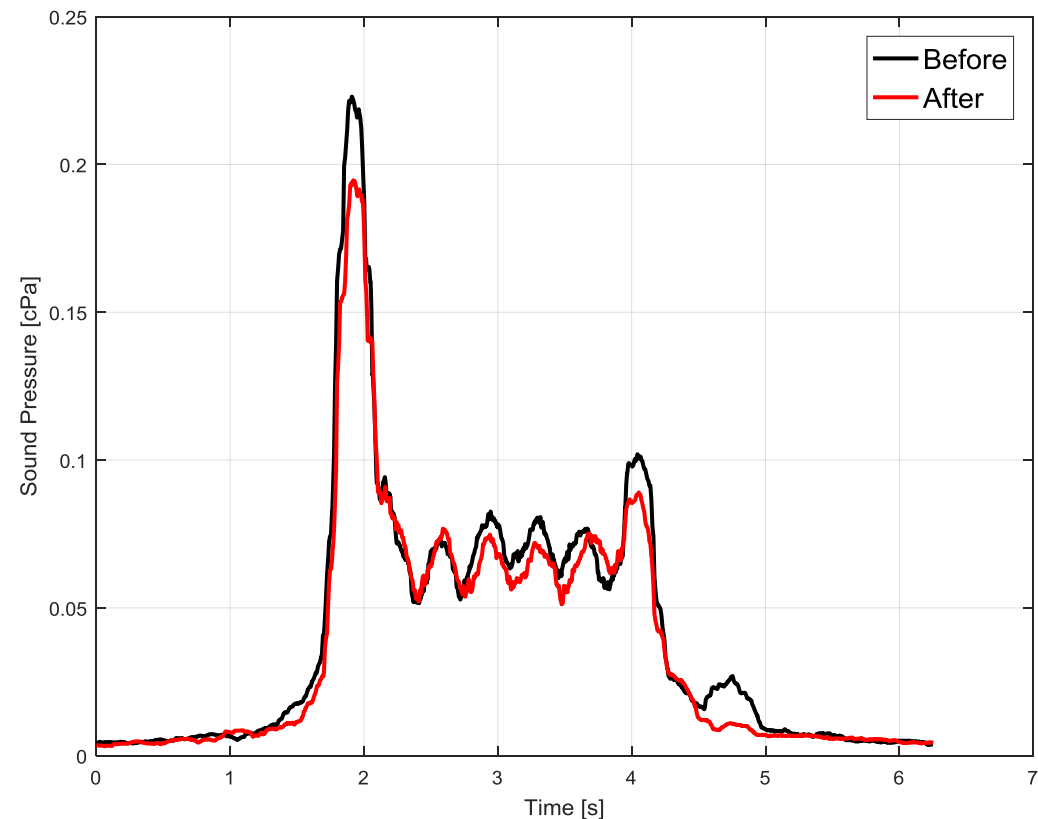


- Displacement curves for the three accelerometers
- One is significantly larger than the other two

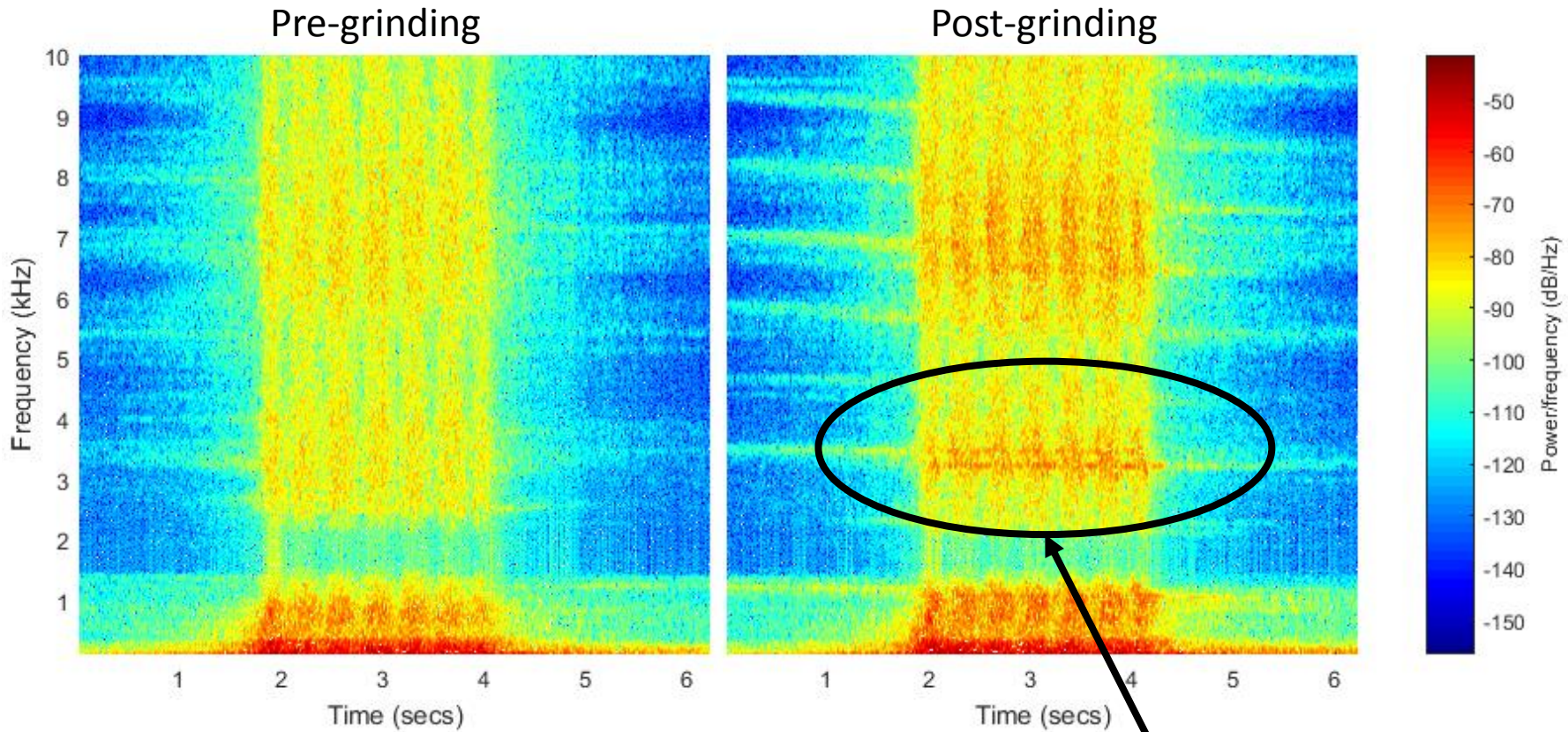


Less-well supported sleeper

- Before and after rail grinding
- Lower RMS values in the sound pressure level after grinding



# Rail Grinding – Sound Pressure



Post-grinding wheel/rail interface harmonics

- Overall level slightly reduced (<3dB)
- Noise distributed over wider frequency range
- Some wheel / rail effects to be considered



# Future Plans

- Transition zone monitoring into or out of a tunnel
- Approval granted for 16 nodes

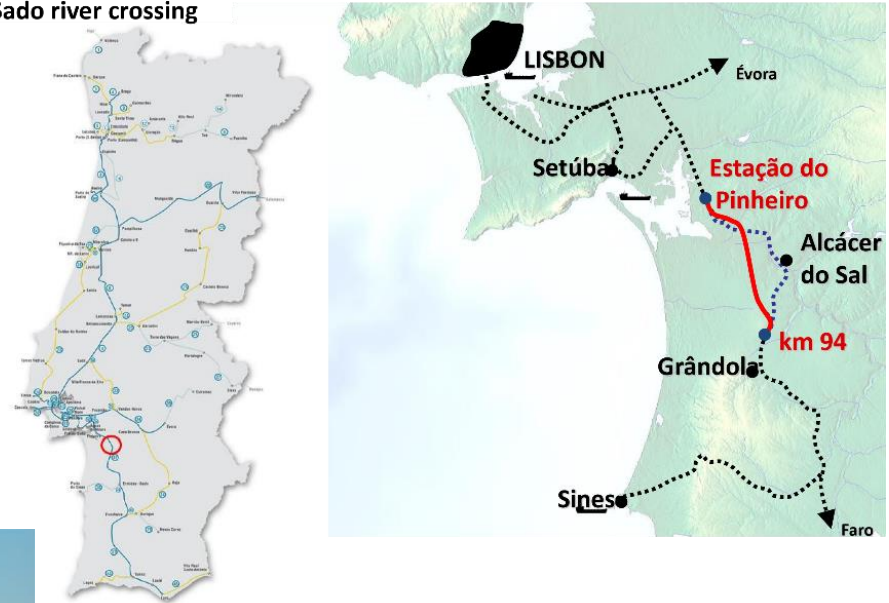


North Downs Tunnel – HS1

# Future Plans

- Transition zone onto a bridge
- Bridge structure
- Instrumentation developed
- Awaiting approvals

New Sado river crossing



- SP4 has developed technology review methodologies
  - Mechanisms for identifying
  - Frameworks for evaluating
- Key technologies have been tested
  - Paper exercises
  - Laboratory testing
  - Preliminary field trials
- Selected technologies are being taken forward for full field testing evaluation

*Thank you for your kind attention*

**Edd Stewart**

*WP4.2 Leader*

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